



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven G. Johnson et al.

Art Unit : Unknown

Serial No. : 10/057,440

Examiner : Unknown

Filed : January 25, 2002

Title : PHOTONIC CRYSTAL OPTICAL WAVEGUIDES HAVING TAILORED
DISPERSION PROFILES

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 13445-008001.

Respectfully submitted,

Date:

9/19/02

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*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. §10.9(b).

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| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 13445-008001 | Application No. 10/057,440 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Steven G. Johnson et al. | |
| | | Filing Date January 25, 2002 | Group Art Unit |

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| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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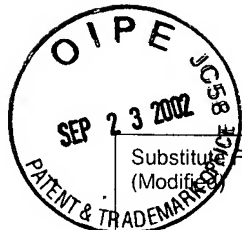


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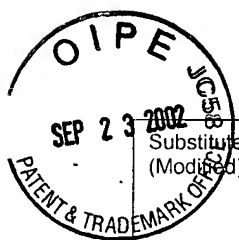
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(Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.
13445-008001

Application No.
10/057,440

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Steven G. Johnson et al.

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Group Art Unit

Other Documents (include Author, Title, Date, and Place of Publication)

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